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13281 U.S. PTO

PTO/SB/05 (08-03)

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|   |  |  |
|---|--|--|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> |  | Attorney Docket No.<br><b>YOR920030595US1<br/>CBLH/20140-00318-US</b>                                      |
|   |  | First Inventor<br><b>Stephen M. Gates et al.</b>   |
| Title   |  | <b>STRUCTURES AND METHODS FOR<br/>INTERGRATION OF ULTRALOW-K DIELECTRICS<br/>WITH IMPROVED RELIABILITY</b> |
|   |  | Express Mail Label No. _____   |

|  |                         |   |   |                   |
|--|-------------------------|---|---|-------------------|
| <b>APPLICATION ELEMENTS</b><br><small>See MPEP chapter 600 concerning utility patent application contents.</small>   |                         | <b>ADDRESS TO:</b> MS Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |   |                   |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/><small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification      [Total Pages <b>30</b>]<br/><small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113)      [Total Sheets <b>4</b>]</p> <p>5. Oath or Declaration      [Total Sheets <b>3</b>]           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><small>(for continuation/divisional with Box 18 completed)</small> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></li> </ul> </li> </ul> </p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> |                         | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/><small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or      ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p> |   |                   |
| <b>ACCOMPANYING APPLICATION PARTS</b>  |                         |   |   |                   |
| <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement      <input checked="" type="checkbox"/> Power of Attorney<br/><small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449      <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/><small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/><small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).<br/><small>Applicant must attach form PTO/SB/35 or its equivalent.</small></p> <p>17. <input type="checkbox"/> Other: _____</p>  |                         |   |   |                   |
| <p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No.: _____</p> <p>Prior application information: Examiner _____ Art Unit: _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>  |                         |   |   |                   |
| <b>19. CORRESPONDENCE ADDRESS</b>  |                         |   |   |                   |
| <input checked="" type="checkbox"/> Customer Number: <b>30678</b>  |                         | OR  | <input type="checkbox"/> Correspondence address below |                   |
| Name   |                         |   |   |                   |
| Address  |                         |   |   |                   |
| City   | State                   | Zip Code  |   |                   |
| Country  | Telephone               | Fax   |   |                   |
| Name (Print/Type)  | <b>Matthew J. Mason</b> |   | Registration No. (Attorney/Agent)                     | <b>44,904</b>     |
| Signature  | <i>Matthew J. Mason</i> |   | Date  | February 19, 2004 |

22858 US PTO  
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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,044.00)

| Complete if Known    |  |
|----------------------|--|
| Application Number   | Not Yet Assigned                       |
| Filing Date          | Concurrently Herewith                  |
| First Named Inventor | Stephen M. Gates et al.                |
| Examiner Name        | Not Yet Assigned                       |
| Art Unit             | N/A                                    |
| Attorney Docket No.  | YOR920030595US1<br>CBLH/20140-00318-US |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number **50-0510**

Deposit Account Name **IBM CORPORATION (YORKTOWN)**

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity        | Small Entity | Fee Description | Fee Paid  |
|---------------------|--------------|-----------------|---|
| Fee Code            | Fee (\$)     | Fee Code        | Fee (\$)  |
| 1051                | 130          | 2051            | 65 Surcharge - late filing fee or oath  |
| 1052                | 50           | 2052            | 25 Surcharge - late provisional filing fee or cover sheet.                          |
| 1053                | 130          | 1053            | 130 Non-English specification   |
| 1812                | 2,520        | 1812            | 2,520 For filing a request for ex parte reexamination                               |
| 1804                | 920*         | 1804            | 920* Requesting publication of SIR prior to Examiner action                         |
| 1805                | 1,840*       | 1805            | 1,840* Requesting publication of SIR after Examiner action                          |
| 1251                | 110          | 2251            | 55 Extension for reply within first month   |
| 1252                | 420          | 2252            | 210 Extension for reply within second month   |
| 1253                | 950          | 2253            | 475 Extension for reply within third month  |
| 1254                | 1,480        | 2254            | 740 Extension for reply within fourth month   |
| 1255                | 2,010        | 2255            | 1,005 Extension for reply within fifth month  |
| 1401                | 330          | 2401            | 165 Notice of Appeal  |
| 1402                | 330          | 2402            | 165 Filing a brief in support of an appeal  |
| 1403                | 290          | 2403            | 145 Request for oral hearing  |
| 1451                | 1,510        | 1451            | 1,510 Petition to institute a public use proceeding                                 |
| 1452                | 110          | 2452            | 55 Petition to revive - unavoidable   |
| 1453                | 1,330        | 2453            | 665 Petition to revive - unintentional  |
| 1501                | 1,330        | 2501            | 665 Utility issue fee (or reissue)  |
| 1502                | 480          | 2502            | 240 Design issue fee  |
| 1503                | 640          | 2503            | 320 Plant issue fee   |
| 1460                | 130          | 1460            | 130 Petitions to the Commissioner   |
| 1807                | 50           | 1807            | 50 Processing fee under 37 CFR 1.17(q)  |
| 1806                | 180          | 1806            | 180 Submission of Information Disclosure Stmt                                       |
| 8021                | 40           | 8021            | 40 Recording each patent assignment per property (times number of properties) 40.00 |
| 1809                | 770          | 2809            | 385 Filing a submission after final rejection (37 CFR 1.129(a))                     |
| 1810                | 770          | 2810            | 385 For each additional invention to be examined (37 CFR 1.129(b))                  |
| 1801                | 770          | 2801            | 385 Request for Continued Examination (RCE)   |
| 1802                | 900          | 1802            | 900 Request for expedited examination of a design application                       |
| Other fee (specify) |              |                 |   |

\*Reduced by Basic Filing Fee Paid

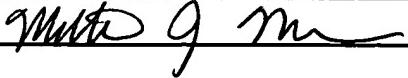
SUBTOTAL (3) (\$ 40.00)

SUBTOTAL (2) (\$ 234.00)

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

(Complete if applicable)

|                   |   |                                   |        |           |                   |
|-------------------|---|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Matthew J. Mason  | Registration No. (Attorney/Agent) | 44,904 | Telephone | (202) 331-7111    |
| Signature         |  |                                   |        | Date      | February 19, 2004 |